

**Certificate of Mailing**

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: August 2, 1999

  
Beverly S. Carter



123

**PATENT**  
39611.00002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Byung-Chul Ahn, et al. Patent No.: 5,905,274 Date of Patent: May 18, 1999 For: Thin Film Transistor and Method of Making Same	
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**TRANSMITTAL OF CERTIFICATE OF CORRECTION**

Assistant Commissioner for Patents  
Box DAC  
Washington, D.C. 20231

Dear Sir:

In accordance with the provisions of 37 C.F.R. § 1.322, enclosed is a Certificate of Correction for the above-identified patent. The enclosed Certificate of Correction corrects an error in the Assignment field on the first page of the patent by correcting the spelling of the assignee's name and its city and country of residence. Specifically, "L.G. Electronics, Inc., Youngdungpo-ku, Japan" should read as --LG Electronics, Inc., Seoul Korea--.

On March 5, 1998, the executed assignment document and a Form PTO-1595 (Recordation Form Cover Sheet) were filed in connection with the application from

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01 FC:145

spelling of the assignee's name and its city and country of residence. Specifically, "L.G.

Electronics, Inc., Youngdungpo-ku, Japan" should read as --LG Electronics, Inc., Seoul

Korea--.

08/06/1999 MBLAND 00000006 5905274  
01 FC:122

Patent No.: 5,905,274  
Granted: May 18, 1999

which the above-identified patent issued. The assignment was recorded on March 5, 1996 under Reel 9024 and Frame 0357. As you will note from the enclosed copy, the recorded assignment correctly lists the assignee of the above-identified patent as:

LG Electronics, Inc.  
20 Yoido-dong, Youngdungpo-ku  
Seoul, Korea.

However, the spelling of the assignee's name and the city and country of residence were incorrectly given on the Issue Fee transmittal form Part B when the issue fee was submitted. Therefore, this incorrect information on the assignee was listed on the first page of the above-identified patent.

Applicants petition the Commissioner under 37 CFR § 1.183, and request the requirements of 37 CFR § 3.81 be waived in order to correct the Assignment error in the Issue Fee Transmittal so that the Assignee's name and city and country of residence are corrected on the issued patent.

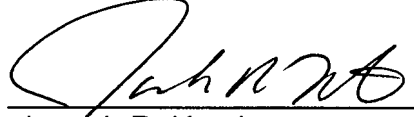
Enclosed is a check in the amount of \$130.00 to cover the petition fee set forth in 37 CFR § 1.117(h). If the petition is granted, please charge the cost of the Certificate of Correction under 37 CFR 1.323 (currently \$100.00) to Deposit Account No. 07-1853.

Patent No.: 5,905,274  
Granted: May 18, 1999

The Commissioner is also authorized to charge any additional fees associated with the filing of this paper to Deposit Account No. 07-1853.

Date: August 2, 1999

Respectfully submitted,



Joseph R. Keating  
Attorney for Applicant(s)  
Reg. No. 37,368

**GRAHAM & JAMES LLP**  
801 S. Figueroa St., 14<sup>th</sup> Floor  
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(213) 624-2500

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 5,905,274

DATED : May 18, 1999

INVENTOR(S): Byung-Chul Ahn and Hyun-Sik Seo

It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

[73] Assignee: LG Electronics, Inc.  
Seoul, Korea

MAILING ADDRESS OF SENDER:

Joseph R. Keating, Esq.  
GRAHAM & JAMES LLP  
801 S. Figueroa St., 14th Fl.  
Los Angeles, California 90017-5554  
(213) 624-2500

Patent No: 5,905,274

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FORM PTO 1050 (REV. 3-82)

03-16-1998

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Patent and Trademark Office

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AND TRADEMARKS: MAR 05 1998

copy thereof.

## 1. Name of conveying party(ies):

Byung-Chul AHN  
Hyun-Sik SEO

- ☒ Individual(s) ☐ Association  
☐ General Partnership ☐ Limited  
☐ Corporation-State ☐ Partnership  
☐ Other

Additional name(s) of conveying parties attached? ☐ Yes ☒ No

## 3. Nature of Conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Dates: Feb. 9, 1998 &amp; Feb. 18, 1998

## 2. Name and address of receiving party(ies):

Name: LG Electronics, Inc.  
 Internal Address:  
 Street Address: 20 Yoido-dong,  
 Youngdungpo-ku, Seoul, KOREA  
 State: Zip:

- ☐ Individual(s) citizenship  
☐ Association  
☐ General Partnership  
☐ Limited Partnership  
☒ Corporation- Japanese  
☐ Other

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or Patent number(s):

A. Patent Application No.(s) 08/918,119

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Jesse D. Reingold, Esq.  
 Intellectual Property Department  
 GRAHAM & JAMES, LLP  
 885 Third Avenue  
 New York, New York 10022-4834  
 Telephone: (212) 848-1000

## 6. Total number of applications and registrations involved:

1

7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed, and  
☒ We authorize any deficiency to be charged to deposit account:

8. Deposit account number: 07-1855  
 (A duplicate copy of this page is attached.)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Name of person signing:

Jesse D. Reingold, Esq.

Reg. No. 20,461

Signature

Total number of pages including cover sheet, attachments, and document:

Dated

March 5, 1998

2

66 Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
 Box Assignments  
 Washington, D.C. 20231



00662439

03/16/1998 TTOM11 00000251 08918119  
 01 FC:581 40.00 OP

**ASSIGNMENT (WORLDWIDE)**

WHEREAS, we Byung-Chul AHN and Hyun-Sik SEO (hereinafter referred to as ASSIGNOR), have invented and own a certain invention entitled **THIN-FILM TRANSISTOR AND METHOD OF MAKING SAME** for which application for Letters Patent of the United States has been

\_\_\_\_\_ executed on even date herewith;  
  x   filed on August 26, 1997 as Serial No. 08/918,119.

WHEREAS, LG Electronics, Inc., 20 Yoido-dong, Youngdungpo-ku, Seoul, KOREA (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and the United States Letters Patent to be obtained therefor;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the full and exclusive right, title and interest to the said invention and all Letters Patent of the United States to be obtained therefor on said application or any continuation, division, renewal, substitute or reissue thereof for the full term or terms for which the same may be granted; and all Letters Patent and applications therefor through the world, including all the rights accruing by virtue of the International Convention for the Protection of Industrial Property.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said application, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

Dated: Feb/9/1998

Byung-Chul AHN  
Byung-Chul AHN

Dated: Feb/18/1998

Hyun Sik SEO  
Hyun-Sik SEO